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(54) Title: BALLOON CONES AND WAISTS THINNING METHODOLOGY

The diagram illustrates the mass distribution and center of gravity of a three-stage rocket. The rocket is shown in three stages: 114 (bottom), 115 (middle), and 116 (top). Stage 114 has a 'Body' section and a '2/3cone' section. Stage 115 has a '1/3cone' section at the top and a 'Waist' section. Stage 116 has a 'Waist' section. Arrows indicate the center of gravity (CG) moving forward through the stages. A vertical dashed line represents the longitudinal axis. A horizontal dashed line indicates the center of mass (COM). The distance between the CG and COM is labeled as 1mm. The diagram also shows the 'Body ground' interface for stage 115.

(57) **Abstract:** Medical balloons (140) and balloon preforms (120) are made by methods which include the step of selectively removing material from the proximal and/or distal ends (120a, 120c) of a segment (120). In the case of a thermoplastic material characterized by one or more glass transition temperatures, the segment (120) may optionally be maintained at a temperature below the glass transition temperature of the segment (120) during the material removal step.

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BALLOON CONES AND WAISTS THINNING METHODOLOGY

Background of the Invention

The present invention relates to a method for making balloons for

- 5 catheters used in medical dilatation procedures.

Balloon catheters are being used extensively in procedures related to the treatment of blood vessels. For example, arterial stenosis is commonly treated by angioplasty procedures which involve inserting balloon catheters into specific arteries. Balloon catheters have also been found useful in procedures involving dilation of body

- 10 cavities.

The most widely used form of angioplasty makes use of a dilatation catheter which has an inflatable balloon at its distal end. Using fluoroscopy, a physician guides the catheter through the vascular system until the balloon is positioned across the stenoses. The balloon is then inflated by supplying liquid under pressure through an

- 15 inflation lumen to the balloon. The inflation of the balloon causes stretching of a blood vessel and pressing of the lesion into the blood vessel wall to reestablish acceptable blood flow through the blood vessel.

In order to treat very tight stenoses with small openings, there has been a continuing effort to reduce the profile of the catheter so that the catheter can reach and

- 20 pass through the small opening of the stenoses. There has also been an effort to reduce the profile of the catheter after an initial use and deflation of the balloon to permit passage of the catheter through additional lesions that are to be treated or to allow entry and retreatment of lesions that reclose after initial treatment.

One factor manipulated to reduce the profile of the dilatation catheter is

- 25 the wall thickness of the balloon material. Balloons for dilatation balloon catheters have been made from a wide variety of polymeric materials. Typically the balloon wall thicknesses have been on the order of 0.0003 to 0.003 inches for most materials. There have been continuing efforts, however, to develop ever thinner walled balloon materials, while still retaining the necessary distensibility and burst pressure rating, so as to permit

- 30 lower deflated profiles.

The profile of the deflated balloon is limited by the thickness of the waist and cone portions of the balloon. Usually, the waist and cone wall thicknesses are thicker than that of the body of the balloon due to the smaller diameter of the waist and

cone portions. In order to reduce the overall profile of the deflated balloon, reduction of the wall thickness of the waist and cone portions must be addressed.

Prior art balloon forming techniques involve stretching and blowing of the balloon from a segment of extruded polymer tubing. Balloons produced by 5 stretching and blowing a tubular preform or "parison" typically have much thicker waist and cone walls than the wall thickness of their body portions. The thicker cone walls contribute to the overall thickness of the catheter, making tracking, crossing and recrossing of lesions more difficult. Further, thick cones interfere with refolding of the balloon on deflation so that the deflated balloon can only be further inserted or 10 withdrawn with difficulty, occasionally even damaging the blood vessel. Thin wall thicknesses enable the deflated balloon to remain narrow, making it easier to advance the balloon through the arterial system.

There have been several solutions proposed for reducing the cone or waist thickness of catheter balloons in US 4,906,241, US 4,963,37, US 5,087,394, US 15 5,304,340, EP 318,919 and EP 485,903. US 4,906,241 and US 4,963,37, both to Noddin, disclose heating a portion of the balloon preform to a desired temperature and drawing the segment so as to form a necked down region while maintaining a portion of the preform in a crystalline state. The preform may then be blown into a balloon. US 5,304,340 to Downey discloses a method of making a dilatation balloon employing a 20 reverse temperature gradient across the sidewall of a parison and drawing and expanding the parison subject to the temperature gradient.

EP 318,919 to Noddin et al. discloses a procedure in which a portion of the tube is crystallized to render it dimensionally stable under heated conditions. The portion stabilized cannot be appreciably inflated or drawn. The tube is heated in a 25 heated bath and as one end is secured in place the other is drawn to a desired length and in the process is necked-down. The tube is drawn down to a constant diameter sleeve. After the initial necking-down of the tube, the tube is reversed in the bath and the second necked-down portion is formed by the same procedure. After the preform is complete the tube is submerged horizontally and restrained at both ends. Two conical 30 portions at opposing ends are arranged to define the shape of the tapered sections of the balloon. Simultaneously the tube is drawn and expanded without constraint until the molecules of the wall material in the balloon region become stabilized in a biaxially

oriented condition. The portions of the tube having the preform tapers expand until they are constrained to the shape of the constraining cones.

US 5,087,394 discloses a method of forming a balloon wherein a length of polymer tubing is formed by drawing the tubing material from an extruder using an 5 extruder die and then irradiated. The method involves positioning an internal support mandrel within the tubing and compressing a portion of the intermediate segment onto the mandrel with a body clamp. The end segment is heated and stretched longitudinally to the desired length. The process of pulling the tube through a restricted hot die or body clamp forms the necked portions and thin waist segments. The other end segment 10 is optionally stretched in a similar manner. The tubing is then heated, and radially stretched by blow-molding to define the balloon and cooled.

EP 485,903 describes a method wherein a tubular parison is formed of a drawble or orientable polymer. It is heated in a metal mold in the range from the second-order transition temperature to the first-order transition temperature of the 15 polymer used. The parison is stretched in the direction of its axis and then inflated radially resulting in a biaxially-drawn or biaxially oriented crude balloon. The parison is then cooled below the second-order transition temperature and deflated. The tapered portions of the crude balloon are redrawn by stretching to reduce their wall thicknesses. The balloon is inflated again and heated above the second transition temperature and 20 then cooled.

The Levy patents (US Re 32,983 and Re 33,561) teach drawing the tubing by axially pulling the tube in a uniform manner apart and then expanding the tube with fluid in a confining apparatus. The stretch process occurs at a temperature above the glass transition temperature and below the melting temperature of the tubing 25 material.

Another method of reducing the cone thickness of balloons is disclosed in US 5,826,588 to Forman, the contents of which are incorporated herein in their entirety by reference. An excimer laser is used to remove portions of the cones from a balloon via photochemical ablation. This materials removal process occurs after the 30 balloon has been formed.

It is possible to make balloons from a variety of materials that are generally of the thermoplastic polymeric type. Such materials may include: polyethylenes, ionomers, ethylene-butylene-styrene block copolymers blended with low

molecular weight polystyrene and, optionally, polypropylene, and similar compositions substituting butadiene or isoprene in place of the ethylene and butylene; poly(vinyl chloride); polyurethanes; copolyesters; thermoplastic rubbers; silicone-polycarbonate copolymers; polyamides; and ethylene-vinyl acetate copolymers. Orientable polyesters, 5 especially polyethylene terephthalate (PET), are among the preferred materials for forming catheter balloons.

Other references illustrating the materials and methods of making catheter balloons include: US 4,47,989 and US 4,456,000 to Schjeldahl et al, US 4,490,421, US Re 32,983 and Re 33,561 to Levy, and US 4,906,244, US 5,108,415, US 10 5,156,612 to Pinchuck et al. and US 5,807,520 to Wang et al.

All US patents and applications and all other published documents mentioned anywhere in this application are incorporated herein by reference in their entirety.

15 Summary of the Invention

There remains a need to continue to improve balloon wall strengths while simultaneously reducing their wall thickness. The present invention addresses these needs by reducing the wall thickness of cone and waist portions. The resulting balloon is smaller in size for easier insertion into the body lumen.

20 The present invention in one aspect is directed to a method comprising the steps of providing a segment of thermoplastic material having a predetermined wall thickness and length, removing material from a portion of at least one of the distal and proximal ends of the segment to achieve a desired reduced thickness while maintaining the temperature of substantially all of the segment below the glass transition temperature 25 of the thermoplastic material or below the highest glass transition temperature if the material is a block copolymer so as to form a balloon preform.

The method may additionally comprise the step of drawing the preform to a predetermined length. Desirably, during the drawing step, the wall thickness of the center portion does not substantially change and the proximal and distal ends form a 30 first cone and waist and a second cone and waist, respectively. Also desirably during the drawing, the temperature of the segment is maintained below the glass transition temperature of the thermoplastic material or below the highest glass transition temperature if the material is a block copolymer so as to form a balloon preform.

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The method may additionally comprise the step of expanding the balloon preform in a mold to produce a balloon, the balloon having a body portion, wherein the center portion of the segment becomes the balloon body portion.

In accordance with the inventive method, the removing step may be
5 accomplished via a variety of techniques including grinding and etching.

The invention is also directed to balloon preforms and balloons made in accordance with the inventive methods.

The invention is also directed to a medical balloon having
a proximal waist portion, a proximal cone portion, a body portion, a distal cone portion
10 and a distal waist portion wherein at least one of the proximal cone and waist wall
thicknesses and distal cone and waist wall thicknesses is less than the body wall
thickness, at least one of the proximal waist and cone portions and distal waist and cone
portions having had material removed therefrom.

The invention is also directed to a medical balloon having a distal cone
15 with a wall thickness of the distal cone which is less than the wall thickness of the
proximal cone.

The invention is also directed to a medical balloon which when inflated
has a constant wall thickness over substantially the entire length of the balloon.

20 **Brief Description of the Figures**

Figure 1 is a perspective view of an angioplasty catheter having an example of a balloon fabricated from the inventive method mounted thereon.

Figures 2a, 2b, 2c and 2d illustrate the results of various process steps in forming a catheter balloon, depicting respectively, side elevational views of a tube of
25 polymer material used to form the balloon, the tube of Fig. 2a with material removed therefrom, a stretched polymer tubing preform prepared from the tube, and a formed balloon prepared from the stretched polymer tubing preform.

Figure 3 is a schematic view of a centerless grinding device that may be useful in the practice of the method of the invention.

30 Figure 4 is a schematic view of a benchtop grinder and die for use in grinding the balloon preform or balloon.

Figure 5a is a schematic of a portion of a balloon with a grind transition half way along the cone.

Figure 5b is a schematic of a portion of a balloon with a grind transition at the body-cone interface.

Figure 6a is a schematic of a portion of a balloon with a grind transition two thirds of the way along the cone.

5 Figure 6b is a schematic of a portion of a balloon with a grind transition at the body-cone interface.

Figure 7 is a schematic of a portion of a balloon with a grind transition two thirds of the way along the cone.

Figure 8 is a schematic of a portion of an inventive balloon.

10 Figure 9 shows the relationship between load and extension for a control balloon and several inventive balloons.

Detailed Description of the Invention

While this invention may be embodied in many different forms, there are 15 described in detail herein specific preferred embodiments of the invention. This description is an exemplification of the principles of the invention and is not intended to limit the invention to the particular embodiments illustrated.

The dilatation balloon fabricated by the present inventive method is illustrated generally at 100 in Figure 1, mounted at the distal end of an elongated 20 catheter 110. Catheter 110 is conventional in its construction, providing a lumen communicating with the interior of inflatable balloon, for inflation and deflation of the balloon, and other optional features conventional in the dilatation catheter art. The balloon 140 is in its inflated configuration. The balloon 140 is formed of a thermoplastic polymer material which provides the balloon with its essential compliance 25 characteristics. It may be any thermoplastic polymer suitable for use as an angioplasty balloon material. Preferred materials include thermoplastic elastomers, suitably, polyamide elastomers, such as Pebax[®] 4033, 5533, 6333, 7033 or 7233 polyester/polyether elastomers such as Arnitel EM 740 (DSM Engineering), and polyurethane elastomers such as Pellethane 2102-80D. Other thermoplastic materials 30 such as PET (polyethylene terephthalate), PBT (polybutylene terephthalate), Surlyn[®] (polyethylene ionomer), nylon, ethylene-vinyl acetate and others elsewhere described as useful for preparing balloons may be employed. Additional non-thermoplastic materials from which the balloon may be made include latex and PTFE.

As shown in Fig. 1, the balloon has several different regions including region B-C which comprises the proximal waist portion 112, region C-D which comprises the proximal cone portion 113, region D-E which comprises the body portion 114, region E-F which comprises the distal cone portion 115 and region F-G which 5 comprises the distal waist portion 116.

The method of the invention is performed by providing a segment of tubing 120, as shown in Fig. 2a, of a suitable balloon material. Desirably, the tubing is extruded. Any conventional extruder may be employed to extrude the tubing. While the tubing is desirably cylindrical, other shaped tubing may be used as well.

10 At least the center portion 120b of the polymer tubing 120, which eventually becomes the balloon, is maintained at a temperature below about the glass transition temperature of the tubing as described in more detail below. At this point the polymer tubing has three sections, a proximal end 120a, a distal end 120c and a center portion 120b.

15 Polymer material from at least one of the proximal and distal end portions of the tubing 120 is removed to a desired thickness preferably while maintaining the temperature of at least a portion of the segment below about the glass transition temperature (T_g) of the thermoplastic material (or below about the highest glass transition temperature if the material is a block copolymer). Temperatures as high 20 as 25°C above T_g are contemplated. Desirably, the temperature will be maintained at T_g or below. As shown in Fig. 2b, material has been removed from both the proximal 120a and distal 120c end portions of the tubing 120. Desirably, the entire segment will be maintained below the glass transition temperature. Super-cooling the tube further below T_g , at the point where material is removed, may yield higher quality materials 25 removal. Of course, where the glass transition temperature of the material is above room temperature, the material removal can be carried out at room temperature with no cooling or minimal cooling of the material.

The invention also contemplates removing material from the tubing at a temperature exceeding the glass transition temperature of the material. In the case of 30 balloon materials which are not characterized by one or more glass transition temperatures, the invention in one aspect contemplates maintaining the material at a temperature below ambient and in another aspect contemplates materials removal at ambient temperature.

In one embodiment, material is removed from both the proximal and distal ends of the polymer tubing to a desired thickness. The amount of material removed from both ends may be the same or different.

The material may be removed from the proximal and/or distal end portions of the segment by grinding. While any suitable grinding process may be employed, the segment desirably will be subject to a centerless grind. A schematic of a centerless grinder is shown in Fig. 3. Typically, a segment 120, supported on rest blade 122, is fed at one end into a centerless grinder, shown generally at 150, and guided between two grinding wheels (work wheel 154 and regulating wheel 158) that rotate in the same direction at different speeds. Segment 120 rotates as a result of its contact with regulating wheel 158 and is ground to a specified diameter or wall thickness dictated by the distance between the faces 154a and 158a of the two grinding wheels. One of the grinding wheels, typically the regulating wheel, may be moved so as to vary the distance between the faces of the grinding wheels during the grinding process. The segment advances through the grinding machine as a result of its contact with the grinding wheels. Specifically, one of the grinding wheels, typically the regulating wheel, rotates along an axis that is almost parallel to the axis of rotation of the segment being ground, but slightly skewed in a vertical plane, so that its contact with the segment causes the segment to move forward through the machine. A suitable centerless grinder which may be used is the Royal Master Grinder model number TG12X3.

As the segment moves forward through the grinder, the grinding action may be stopped and restarted at a later time thereby allowing for the proximal and distal ends to be ground without grinding the body portion of the segment.

A number of factors affect the rate at which the segment moves through the grinding machine and the rate at which wheels must be changed. Temperature, regulating wheel rotation rate (in revolutions per minute - rpm), regulating wheel tilt angle, slippage, type of coolant used, grinding wheel material, segment diameter, segment material, and segment uniformity may affect the feed rate. As may be appreciated from the description of the centerless grinding process, having a straight and preferably uniform tubing segment is essential to effective centerless grinding.

Where the materials removal is accomplished by grinding with a benchtop grinder, the tubing segment 120 may be supported by a die of suitable length such as that shown in Fig. 4, that is fixed to the grinding machine. Desirably, the die,

shown generally at 162, will be tubular with half of the die cut away in the middle third 166 of the die to provide access to the segment. The first 170 and second ends 174 of the die have a bore therethrough to allow the segment 120 to be held therein. Also desirably, there will be a minimal clearance between the outer diameter (OD) of the 5 segment and the inner diameter (ID) of the die. A mandrel may also be used in place of or in addition to the die for support as needed.

Material is removed from segment 120 by applying rapidly rotating grinding wheel 178 to the segment. The grinding wheel is rotated at a suitable rate which will depend, *inter alia*, on the type of material, the amount of material to be 10 removed, the type of grit on the grinding wheel and the availability of coolants. Rates of about 2000 revolutions per minute or higher have been found to be suitable. Tubing segment 120 is rotated within die 162 during the grinding process to ensure uniform material removal.

The segment may also be ground using other suitable devices such as a 15 belt sander.

Desirably, as the segment is ground, a coolant is applied to the segment to prevent heating of the segment. A coolant such as water or saline may be misted onto the segment. Other suitable coolants including air and other gasses such as carbon dioxide, nitrogen, argon and chlorofluorocarbons may also be used. Additional, 20 cryosprays as are known in the art may be used as well. Of course, if the glass transition temperature of the material is above room temperature, the grinding may be carried out at room temperature with no or minimal additional cooling of the segment.

Heating of the segment as a result of the material removal may also be controlled by altering the material removal rate. By slowing the rate of material removal 25 such as by grinding, heat buildup in the segment may be reduced.

The grinding wheel used to grind the segment desirably employs a fine grit. A 120 mesh silicon carbide grit has proven suitable for use with Pebax®, PBT and PET balloons and balloon preforms although other size and type grits including diamond, silica and aluminum may also be suitable for PET and other balloon materials. 30 With the use of such a grit, the resulting ground surface will be smooth thereby avoiding a cratered or otherwise irregular surface.

Other suitable techniques for removing material may also be employed such as chemical etching. In the case of chemical etching, a mask is applied to the

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segment except in the regions from which material is to be removed. Polymer etchant is then applied to the exposed portions of the segment so as to etch away a desired amount of tube material. The segment is then rinsed off and the mask removed.

The selective masking of the segment may be accomplished by a variety

- 5 of suitable techniques as are known in the art.

Where material is removed from the waist portion, the desired thickness of the balloon waist portion will depend on how the balloon is affixed to the catheter.

Where the balloon is adhesively bonded to the catheter, the thickness after the materials removal must be such that the waist section of the balloon will still have sufficient

- 10 strength. Where the balloon is heat bonded to the catheter, the balloon may have a thinner waist without the strength limitations of adhesive bonds.

In either case, both distal and proximal ends will desirably be as thin as possible while still maintaining sufficient bond strength with the catheter shaft. A waist thickness of approximately 0.001" plus or minus 0.0004" is particularly desirable.

- 15 Because the distal waist portion of a balloon blow molds to a diameter less than the proximal waist portion, typically, in the absence of material removal the distal waist portion of the balloon will be thicker than the proximal waist portion. This difference in diameters should be taken into account in determining how much material should be removed from the various portions of the segment.

- 20 In a preferred embodiment, sufficient material is removed from the distal waist so that the distal waist is thinner than the proximal waist in order to achieve increased flexibility. Additionally, the proximal waist need not be as thin as the distal due to how the waist mates up with the rest of the catheter shaft.

The tube may then be formed into a balloon using known balloon

- 25 forming techniques. One such suitable technique is disclosed in U.S. 5,807,520 to Wang et al.

Another suitable technique for forming the balloon involves prestretching the tube and expanding the tube into the form of a balloon by blow molding.

- 30 The segment of polymer tubing is optionally prestretched on either side of the center portion 120b by elongating proximal 120a and distal 120c ends axially. Referring to Figs. 2a-2d, the prestretching process comprises applying an axial stretching force to the polymer tubing 120, allowing the polymer tubing to stretch while maintaining the axial stretching force and finally allowing the stretched polymer tubing

70 to reach approximately room temperature. In so doing, the proximal and distal ends form a first and second waist, respectively.

Once the prestretch is complete, the stretched polymer tubing 70 is radially expanded into the form of a balloon 140 by using a molding process. The 5 molding process comprises placing the stretched polymer tubing 70 in a mold, heating the mold and expanding the stretched polymer tubing radially by means of internal pressure. After sufficient time has passed for the balloon to form, the mold is cooled and the balloon 140 is removed.

The invention is also directed to the formation of a balloon by stretching 10 a preform and subsequently removing material from the proximal and/or distal waist and/or cone portions of the balloon preform. The materials removal may also occur prior to or following blowing of the balloon and in one aspect of the invention, material is removed from a balloon that has already been formed.

Although any of the above materials removal techniques may be 15 employed, it is desirable when grinding subsequent to stretching to hold the temperature of the preform below the glass transition temperature as described above. This technique may be particularly useful in the formation of Pebax®, PBT and PET balloons.

The invention is also directed to a medical balloon having 20 a proximal waist portion, a proximal cone portion, a body portion, a distal cone portion and a distal waist portion wherein at least one of the proximal cone and waist wall thicknesses and distal cone and waist wall thicknesses is less than the body wall thickness, at least one of the proximal waist and cone portions and distal waist and cone portions having had material removed therefrom.

Where the wall thickness of the given portion is not constant, as with 25 many balloons, desirably, the average wall thickness of at least one of the proximal cone and waist portions and distal cone and waist portions is less than the body wall thickness.

The invention is also directed to a medical balloon having a distal cone whose wall thickness is less than the wall thickness of the proximal cone. Where the 30 wall thickness of the distal and proximal cones varies, desirably, the average wall thickness of the distal cone will be less than the average wall thickness of the proximal cone.

The invention is also directed to a medical balloon which when inflated to a desired pressure has a constant wall thickness over substantially the entire portion of the balloon including the cone and body portions. Such a balloon may be prepared by removing a sufficient amount of material from the proximal and/or distal waist and /or 5 cone portions of the balloon.

The invention is illustrated by the following non-limiting examples. In each of the following examples, the distal and proximal ends of a tube were ground using a centerless grinder at room temperature. The tube was cooled using a water spray. Following grinding, the tube was stretched at room temperature until a visual 10 change in the outer diameter of the tube was noticed. The tube was then oriented with the ground section in the cone region of a mold and lowered, ground portion first, into a 95° C water bath. The tube was immersed to approximately the transition level between the ground and non-ground section. The first ground section was blown at a pressure of from about 350 to about 450 pounds per square inch (psi) to form the first cone and the 15 mold was then immersed further into the water bath and the body section blown at a pressure of from about 300 to about 350 psi. Finally, the second ground portion was immersed in the bath and blown to form a second cone at a pressure of from about 350 to about 450 psi.

The following abbreviations and measurement units are used in the tables 20 below:

- ID - inner diameter (reported in inches)
- OD - outer diameter (reported in inches)
- 1xWall - total wall thickness (reported in inches) including inner wall and outer wall in the case of extruded tubing
- 25 Outer Wall - thickness of outer layer of extruded tubing (reported in inches)
- Inner Wall - thickness of inner layer of extruded tubing (reported in inches)
- Grind OD - Outer diameter of tube in ground region (reported in inches)
- New Wall - Wall thickness in ground region as given by Grind (OD - ID)/2 (reported in inches)
- 30 %Grind - measure of percentage of material removed
- Taper length - length of transition between ground and unground portions (reported in inches)

Example 1

Coextruded tubing (inner layer Pebax 7233, outer layer Pebax 4033) was ground in accordance with the present invention and formed into a balloon using the method described immediately above. Measurements were made for balloons formed from tubes with a grind transition 121 half way along the distal cone 115 (lower cone transition) shown schematically in Fig. 5a and tubes with a grind transition 121 at the distal cone 115 body 114 interface (upper cone transition) shown schematically in Fig. 5b. The wall thickness of the balloon was measured in the waist 116, half way along the cone and in the body portion 114 of the balloon. For the sake of comparison, measurements were made on a balloon formed from an unground tube as well (non-grind). The measurements were repeated on balloons formed from four separate tubes. Reported below in Table 1 are the measured single wall thicknesses in inches for samples 1-4. The properties of the initial tubes prior to blowing are reported in Table 2.

Table 1

15

Sample	Distal	Coex Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in
		1	2	3	4	
Nongrind	Waist	6.50	6.25	6.55	6.30	6.40
	$\frac{1}{2}$ cone	1.95	2.00	2.10	2.40	2.11
	body	1.30	1.50	1.50	1.30	1.40
Lower cone trans.	Waist	3.35	3.10	3.30	3.10	3.21
	$\frac{1}{2}$ cone	1.55	1.75	1.60	1.75	1.66
	body	1.40	1.55	1.40	1.50	1.46

20

Upper cone trans.	Waist	3.20	3.35	3.25	3.30	3.28
	½ cone	1.50	1.35	1.50	1.50	1.46
	body	1.40	1.50	1.55	1.55	1.50

5

Table 2

ID	OD	1xWall	Outer Wall	Inner Wall	Grind OD	New wall	%Grind	Taper Length
0.0185	0.0453	0.0134	0.0054	0.0080	0.0383	0.0099	26%	.0136

10 The balloons of example 1 had a cone length of 2.5 mm and a cone angle of 45°.

Example 2

Polybutyleneteraphthalate (PBT) tubing was ground in accordance with the present invention and formed into a balloon using the method described above. The 15 wall thickness of the balloon was measured in the waist, one third of the way along the cone, two thirds of the way along the cone and in the body portion of the balloon. As shown schematically in Figs. 6a and 6b, measurements were made for balloons formed from tubes with a grind transition 121 two thirds of the way along the distal cone 115 and tubes with a grind transition 121 at the distal cone 115 -body 114 interface (upper 20 cone transition). For the sake of comparison, measurements were made on a balloon formed from an unground tube as well (non-grind). The measurements were repeated on four separate tubes. Reported below in Table 3a are the measured single wall thicknesses in inches.

Measurements were also made on PBT balloons with proximal cones 25 ground as described above and reported below in Table 3b.

The properties of the initial tubes are reported in Table 4.

Table 3a

	Distal	PBT Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in
		1	2	3	4	
Control	Waist	2.80	2.90	2.90	2.85	2.86
	1/3 cone	1.55	1.30	1.50	1.50	1.46
	2/3 cone	1.05	0.95	0.95	0.95	0.98
	body	0.75	0.65	0.75	0.70	0.71

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	Cone Trans.	Waist	1.70	1.75	1.85	1.85	1.79
		1/3 cone	0.90	0.95	1.00	1.00	0.96
		2/3 cone	0.70	0.65	1.00	0.95	0.83
		body	0.75	0.65	0.70	0.75	0.71
5							
	Body Trans.	Waist	1.95	1.80	1.95	1.85	1.89
		1/3 cone	0.95	0.95	1.00	0.80	0.93
		2/3 cone	0.65	0.50	0.50	0.40	0.51
		body unground	0.75	0.65	0.70	0.75	0.71
10		body ground	0.35	0.40	0.40	0.30	0.36

Table 3b

		Proximal	PBT Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in
			1	2	3	4	
15	Nongrind	Waist	2.25	2.25	2.0	2.40	2.30
		1/3 cone	1.50	1.65	1.5	1.60	1.58
		2/3 cone	1.00	1.15	0.5	0.85	0.99
		body	0.70	0.75	0.70	0.70	0.71
20							
	Cone Trans.	Waist	1.40	1.65	1.25	1.45	1.44
		1/3 cone	1.50	1.70	1.50	1.30	1.50
		2/3 cone	0.90	1.10	1.00	1.00	1.00
25		body	0.70	0.75	0.70	0.65	0.70

Table 4

ID	OD	1xWall	Grind OD	New Wall	%Grind	Taper Length
0.0150	0.0380	0.0115	0.032	0.0085	26%	0.03

30

The balloons of example 2 had a cone length of 4.0 mm and a cone angle of 15°.

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Example 3

Pebax 7233 tubing was ground in accordance with the present invention and formed into a balloon using the method described above. The wall thickness of the balloon was measured in the waist, one third of the way along the cone, two thirds of the
 5 way along the cone and in the body portion of the balloon. Measurement were made for balloons formed from tubes with a 30% wall grind and a 40% wall grind. As shown schematically in Fig. 7, the grind transition 121 was 2/3 of the way along the distal cone 115. For the sake of comparison, measurements were made on a balloon formed from an unground tube as well (non-grind). The measurements were repeated on four
 10 separate tubes. Reported below in Table 5 are the measured single wall thicknesses in inches.

The properties of the initial tubes are reported in Table 6.

Table 5

	Distal	Quantum Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in
		1	2	3	4	
	Nongrind					Avg.
	Waist	3.70	3.85	3.70	3.45	3.68
	1/3 cone	2.10	2.40	2.50	2.45	2.36
15	2/3 cone	1.00	1.35	1.25	1.30	1.23
	body	0.75	0.85	0.85	0.75	0.80
20	24% wall					
	Waist	3.10	3.15	2.80	3.05	3.03
	1/3 cone	1.25	1.60	1.20	1.20	1.31
25	2/3 cone	1.00	1.10	1.05	1.00	1.04
	body	0.85	0.85	0.85	0.90	0.86
30	34% wall ground					
	Waist	2.10	2.10	1.80	2.20	2.05
	1/3 cone	0.55	0.60	0.55	0.65	0.59
	2/3 cone	0.90	0.95	0.11	0.90	0.96
	body	0.85	0.85	0.85	0.90	0.86

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Table 6

ID	OD	1xWall	Grind OD	New Wall	%Grind	Taper Length
0.019	0.036	0.0085	0.0309	0.0060	30%	0.03
5	0.019	0.036	0.0085	0.0292	0.0051	40%
						0.03

The balloons of example 1 had a cone length of 4.0 mm and a cone angle of 15°.

10

Example 4

Pebax 7233 tubing was ground in accordance with the present invention and formed into a balloon using the method described above. The wall thickness of the balloon was measured in the waist, one third of the way along the cone, two thirds of the 15 way along the cone and in the body portion of the balloon. Measurements were made for balloons formed from tubes with a 24% wall grind and a 35% wall grind. Tubes were ground with a 32 mm backoff and a 33 mm backoff. As shown in Fig. 8, the 31 mm backoff line is indicated by reference numeral 123, the 32 mm backoff line is indicated by reference numeral 124 and 33 mm backoff line is indicated by reference 20 numeral 125. For the sake of comparison, measurements were made on a balloon formed from an unground tube as well (non-grind). The measurements were repeated on four separate tubes. Reported below in Tables 7a-d are the measured single wall 25 thicknesses in inches for balloons formed from tubes with a 30% distal grind and 30% proximal grind and for balloons formed from tubes with a 40% distal grind and 20% proximal grind.

The properties of the initial tubes are reported in Table 8.

Table 7a
Grind Distal 30% Proximal 30%

	Distal Portion	5.0 Leap II Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in	
		1	2	3	4		
30	Nongrind	0	5.25	5.85	5.35	5.35	5.45
		1	2.90	1.80	2.80	1.90	2.35
		2	1.95	1.30	1.85	1.55	1.66
35		3	1.40	1.10	1.35	1.20	1.26
		4	1.10	1.00	1.10	1.10	1.08

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	5	0.85	0.80	0.95	1.00	0.90
	32mm backoff	0	3.80	3.75	3.50	3.55
5		1	1.80	1.90	1.75	1.85
		2	1.20	1.40	1.05	1.60
		3	0.90	1.00	0.90	0.85
		4	1.05	1.05	1.10	1.00
		5	0.95	1.05	0.95	0.95
10	33mm backoff	0	3.60	3.60	3.75	3.70
		1	2.10	1.95	1.90	1.85
		2	0.75	1.00	1.00	0.95
		3	0.95	0.75	0.80	0.85
		4	0.90	0.90	0.85	0.90
15		5	1.00	1.00	1.00	1.05
						1.01

Table 7b
Grind Distal 30% Proximal 30%

20	Proximal Portion	5.0 Leap II Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in	
		1	2	3	4		
	Nongrind	0	5.35	5.00	4.75	4.75	4.96
		1	2.95	3.25	2.70	3.00	2.98
25		2	2.05	1.80	1.75	1.95	1.89
		3	1.30	1.30	1.25	1.25	1.28
		4	1.10	1.15	1.00	1.10	1.09
		5	1.05	1.00	1.00	1.00	1.01
30	32mm backoff	0	2.30	2.75	2.75	2.70	2.63
		1	1.45	1.95	1.65	1.80	1.71
		2	1.05	1.30	1.05	1.20	1.15
		3	0.80	0.95	0.85	0.85	0.86
		4	0.70	0.75	0.70	0.60	0.69
35		5	1.00	1.05	1.00	1.00	1.01

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33mm backoff	0	2.90	2.45	2.60	2.70	2.66
	1	2.05	1.45	1.65	2.00	1.79
	2	1.50	1.05	1.15	1.25	1.24
	3	1.00	0.75	0.90	0.85	0.88
5	4	0.75	0.65	0.65	0.70	0.69
	5	1.10	1.00	1.05	0.75	0.98

Table 7c

Grind Distal 40% Proximal 20%

	Distal	5.0 Leap-II Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in
		1	2	3	4	
Nongrind	0	5.25	5.85	5.35	5.35	5.45
	1	2.90	1.80	2.80	1.90	2.35
	2	1.95	1.30	1.85	1.55	1.66
	3	1.40	1.10	1.35	1.20	1.26
	4	1.10	1.00	1.10	1.10	1.08
	5	0.85	0.80	0.95	1.00	0.90
31mm backoff	0	2.30	2.40	2.30	2.40	2.35
	1	1.05	1.45	1.00	1.25	1.19
	2	1.15	1.35	1.20	1.20	1.23
	3	1.15	1.20	1.10	1.20	1.16
	4	1.05	1.10	1.05	1.05	1.06
	5	0.95	1.00	0.95	1.00	0.98
32mm backoff	0	2.40	2.45	2.50	2.40	2.44
	1	1.30	1.30	1.30	1.00	1.23
	2	0.85	0.80	1.00	0.85	0.88
	3	0.95	0.95	1.10	1.00	1.00
	4	1.00	1.00	1.05	0.95	1.00
	5	1.00	1.00	0.95	0.95	0.98

Table 7d
Grind Distal 40% Proximal 20%

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	Proximal Portion	5.0 Leap II Sample $\times 10^{-3}$ in				$\times 10^{-3}$ in	
		1	2	3	4		
5	Nongrind	0	5.35	5.00	4.75	4.75	4.96
		1	2.95	3.25	2.70	3.00	2.98
		2	2.05	1.80	1.75	1.95	1.89
		3	1.30	1.30	1.25	1.25	1.28
		4	1.10	1.15	1.00	1.10	1.09
10		5	1.05	1.00	1.00	1.00	1.01
	31mm backoff	0	3.60	3.70	3.70	3.70	3.68
		1	2.10	2.40	2.20	2.25	2.24
		2	1.40	1.45	1.30	1.40	1.39
		3	1.00	1.00	1.05	1.00	1.01
15		4	0.95	0.90	1.00	1.00	0.96
		5	1.05	1.00	1.05	1.05	1.04
20	32mm backoff	0	3.40	3.65	3.70	3.60	3.59
		1	2.05	2.40	2.45	1.90	2.20
		2	1.40	1.50	1.45	1.20	1.39
		3	1.05	1.15	1.10	1.00	1.08
		4	1.05	1.10	1.05	1.10	1.08
25		5	1.10	1.05	1.05	1.00	1.05

Table 8

Distal

ID	OD	1xWall	Grind OD	New Wall	% Grind	Taper Length
0.0334	0.055	0.0108	0.0499	0.0083	24%	0.04
0.0334	0.055	0.0108	0.0474	0.0070	35%	0.04

Proximal

30	0.0334	0.055	0.0108	0.0518	0.0092	15%	0.03
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The balloons of example 1 had a cone length of 7.5 mm and a cone angle of 15°.

Example 5

A balloon made of tubing subject to a 30% grind at the distal end, a balloon made of tubing subject to a 30% grind at both the distal and proximal ends, a balloon made of tubing subject to a 40% grind at the distal end and a control balloon 5 made of non-ground tubing were subjected to a tensile test. The force required to pull a balloon cone through a predetermined orifice size at a constant rate of travel was measured for each of the balloons. The balloons were pulled at a rate of 5 inches per second with a one hundred pound load cell. The orifice diameter was 0.042 inches and the ratio of the distal outer diameter to the orifice diameter was 0.70. The gauge length 10 was 10 mm.

As shown in Fig. 9, the peak force required to pull the control balloon through the orifice as its distal cone enters the orifice is far in excess of the peak force required for the inventive balloons. Reductions in force can exceed 50%. Similarly, as 15 the proximal cones pass through the orifice, the balloon which has been ground in the proximal cone region requires a significantly lower load (in excess of 50%) to pass through the orifice.

The instant example demonstrates that balloons formed in accordance with the instant invention may exhibit reduced cone and waist stiffness. Other benefits that may result from the inventive balloons include better balloon folding capability, 20 lower balloon profile, greater tip flexibility and improved retraction performance of retaining sleeves which are often placed about the cones of a balloon.

In addition to being directed to the embodiments described above and claimed below, the present invention is further directed to embodiments having different combinations of the features described above and claimed below. As such, the 25 invention is also directed to other embodiments having any other possible combination of the dependent features claimed below.

U.S. Application Nos. 09/401,618 and 09/076,252, from which this application claims priority, are incorporated in their entirety herein by reference.

The above examples and disclosure are intended to be illustrative and not 30 exhaustive. These examples and description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are

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intended to be included within the scope of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

What is claimed is as follows:

1. A method comprising the steps:

providing a segment of thermoplastic material tubing having a predetermined wall thickness and length, the segment having a proximal end portion, a distal end portion and a center portion;

removing material from a portion of at least one of the distal and proximal end portions of the segment to a desired reduced thickness while maintaining the temperature of substantially all of the segment below about the glass transition temperature of the thermoplastic material or below the highest glass transition

10 temperature if the material is a block copolymer so as to form a balloon preform.

2. The method of claim 1 further comprising the step of:

drawing the preform to a predetermined length.

3. The method of claim 2 wherein during the drawing step the temperature of the segment is maintained below the glass transition temperature of the thermoplastic material or below the highest glass transition temperature if the material is a block copolymer.

4. The method of claim 1 further comprising the step of:

expanding the balloon preform in a mold to produce a balloon, the balloon having a body portion, wherein the center portion of said segment becomes the balloon body portion.

5. The method of claim 3 further comprising the step of:

expanding the balloon preform in a mold to produce a balloon, the balloon having a body portion, wherein the center portion of said segment becomes the balloon body portion.

25 6. The method of claim 1 wherein after the removing step, the thickness of the proximal end portion and the thickness of the distal end portion differ.

7. The method of claim 3 wherein after the removing step the wall thickness of the center portion is greater than wall the thickness of the at least one end portion from which material is removed.

30 8. The method of claim 1 wherein material is removed from both the proximal end portion and distal end portion to desired thicknesses during the removing step.

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9. The method of claim 4 wherein after the drawing step the wall thickness of the center portion is greater than the thickness of the proximal and distal end portions.
10. The method of claim 1 wherein the material is removed by grinding during the removing step.
- 5 11. The method of claim 1 wherein the material is removed by chemical etching during the removing step.
12. The method of claim 1 wherein during the removing step all of the segment is maintained below the glass transition temperature of the thermoplastic material or below the highest glass transition temperature if the material is a block copolymer.
- 10 13. The method of claim 1 wherein a coolant is directed at the segment during the removing step to cool the segment.
14. The method of claim 14 wherein the coolant is selected from the group consisting of water, air, compressed gasses and mixtures thereof.
- 15 15. The method of claim 1 wherein the at least one portion is maintained at a temperature cooler than the remainder of the segment.
16. The method of claim 1, wherein the thermoplastic material is chosen from the group consisting of polyamide elastomers, polyester elastomers, polyamides, polyesters, polyethylenes, polyurethanes, polyethylene ionomers, and ethylene vinyl acetates.
17. A method comprising the steps:
 - 20 providing a segment of thermoplastic material having a predetermined wall thickness and length, the segment having a proximal end portion, a distal end portion and a center portion;
 - removing material from at least one portion of the proximal end and distal end portions of the segment to a desired reduced thickness;
 - 25 drawing the segment to a predetermined length; and
 - expanding the segment in a mold to produce a balloon, the balloon having a body portion, wherein the center portion of said segment becomes the balloon body portion.
18. The method of claim 17 wherein the temperature of substantially all of the
- 30 segment is maintained below the glass transition temperature of the thermoplastic

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material or below the highest glass transition temperature if the material is a block copolymer during the removing step

19. The method of claim 17 wherein the temperature of the segment is maintained below the glass transition temperature of the thermoplastic material or below the highest
5 glass transition temperature if the material is a block copolymer during the drawing step.

20. A balloon made in accordance with the method of claim 17, the balloon having a constant wall thickness over substantially its entire length on inflation to a desired pressure.

21. A preform for a medical balloon made in accordance with the method of claim 1.
10 22. A medical balloon made in accordance with the method of claim 5.

23. The medical balloon of claim 22 wherein the material is removed by a process selected from the group consisting of grinding and chemical etching.

24. A medical balloon preform made by a method comprising the steps:
providing a segment of material having a predetermined wall thickness
15 and length, the segment having a proximal end portion, a distal end portion and a center portion, the center portion having a temperature;

removing material from at least one end portion from among the proximal end and distal end portions to a desired thickness.

25. The balloon of claim 24 wherein the material is thermoplastic and the
20 temperature of the center portion of the segment is maintained during the removing step below the glass transition temperature of the thermoplastic material or below the highest glass transition temperature if the material is a block copolymer.

26. The balloon of claim 24 further comprising the step of drawing the segment to a predetermined length.

25 27. A medical balloon having:
a proximal waist portion having a proximal waist wall thickness;
a proximal cone portion having a proximal cone wall thickness;
a body portion having a body wall thickness;
a distal cone portion having a distal cone wall thickness; and
30 a distal waist portion having a distal waist wall thickness;

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wherein the wall thickness of at least one balloon segment selected from the group consisting of the proximal waist, the proximal cone, the distal cone and the distal waist segments is less than the body wall thickness, the at least one segment having had material removed therefrom by grinding or chemical etching.

- 5 28. The medical balloon of claim 27 wherein the wall thickness of both the proximal cone portion and the distal cone portion are less than the body wall thickness.
29. The medical balloon of claim 27 wherein the wall thickness of the distal cone is less than the wall thickness of the proximal cone.
30. A medical balloon which when inflated to a desired pressure has a constant wall
- 10 thickness over substantially the entire length of the balloon.
31. A method comprising the steps:

providing a segment of tubing having a predetermined wall thickness and length, the segment having a proximal end portion, a distal end portion and a center portion;

- 15 removing material from a portion of at least one of the distal and proximal end portions of the segment to a desired reduced thickness so as to form a balloon preform.
32. The method of claim 31 further comprising the step of forming a balloon from the preform.

20

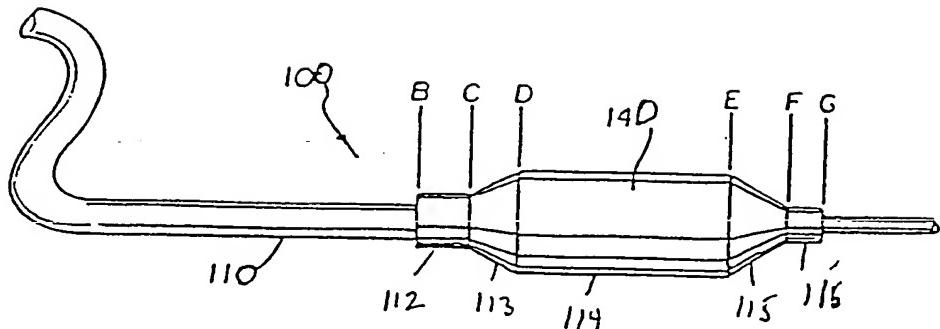
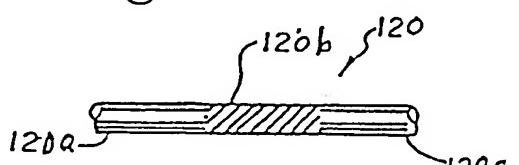
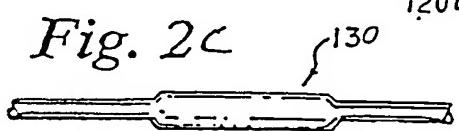
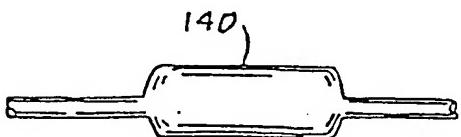
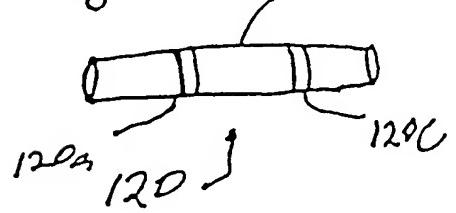
Fig. 1*Fig. 2A**Fig. 2C**Fig. 2D**Fig. 2B*

FIG. 3

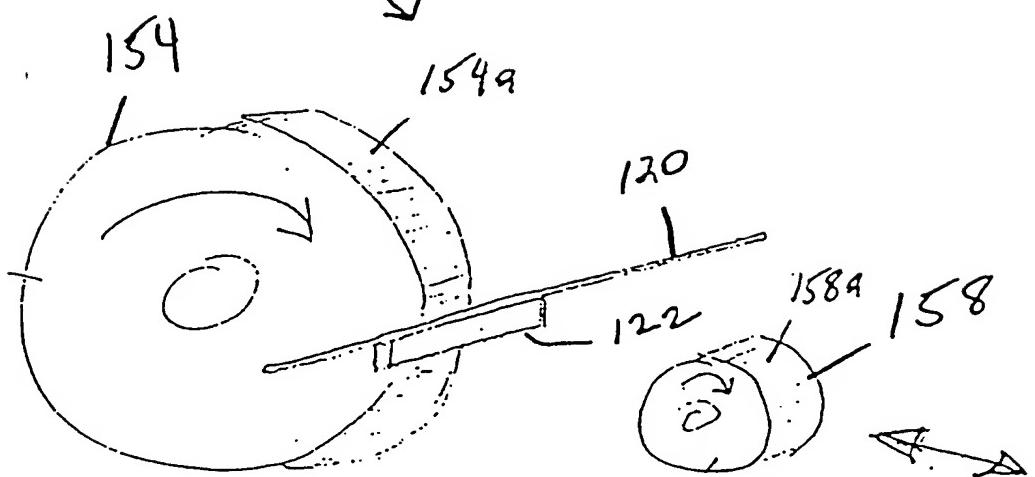
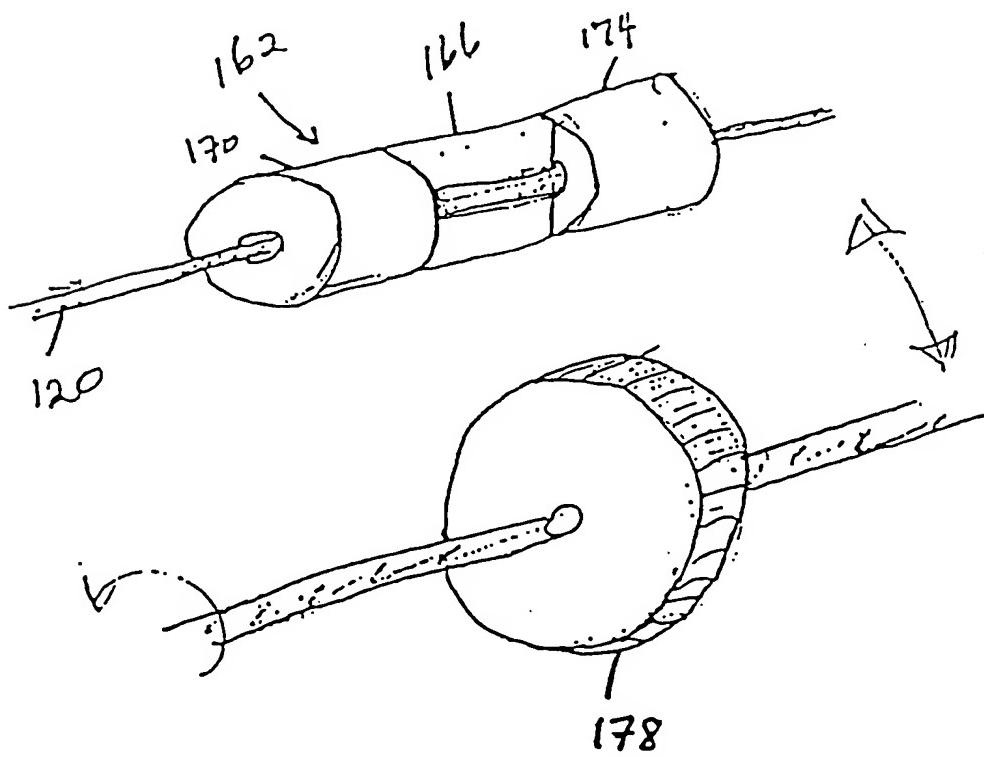


FIG 4



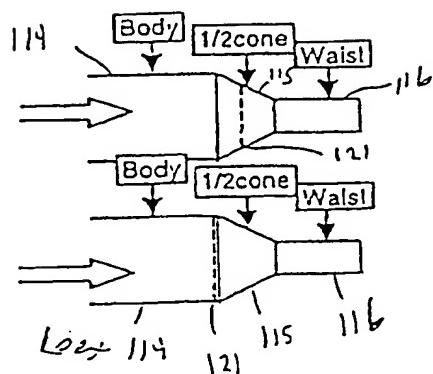


Fig. 5a

Fig. 5b

PBT

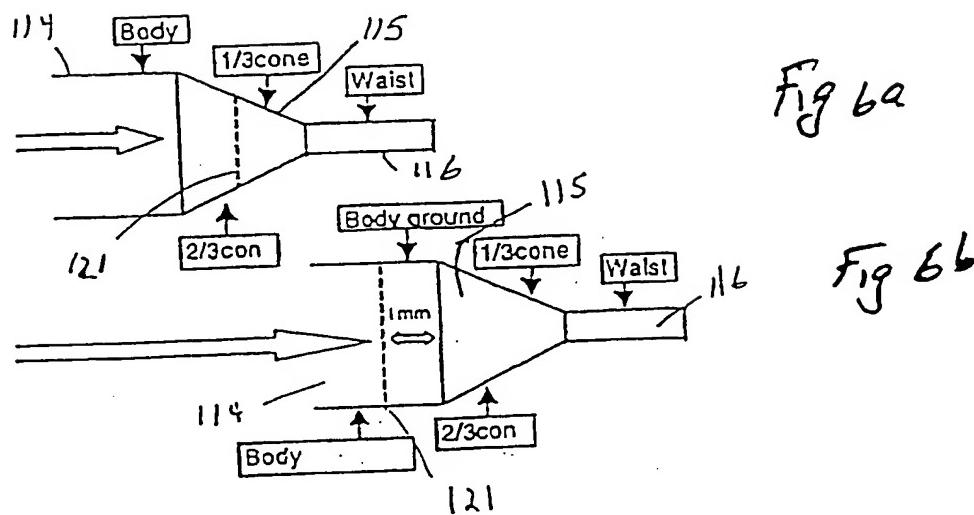


Fig 6a

Fig 6b

3.0 quantum

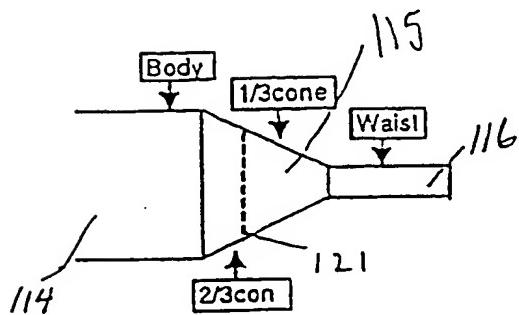
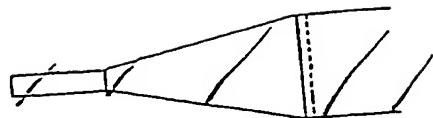


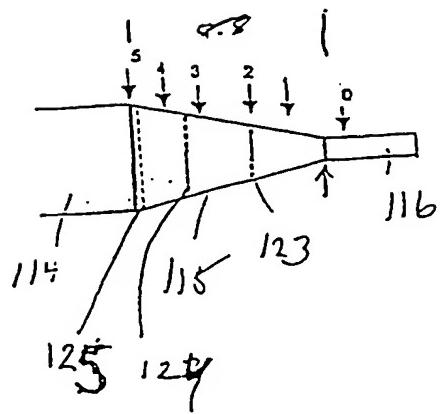
Fig. 7

5.Cleap 2



5.Cleap 2

Fig.8



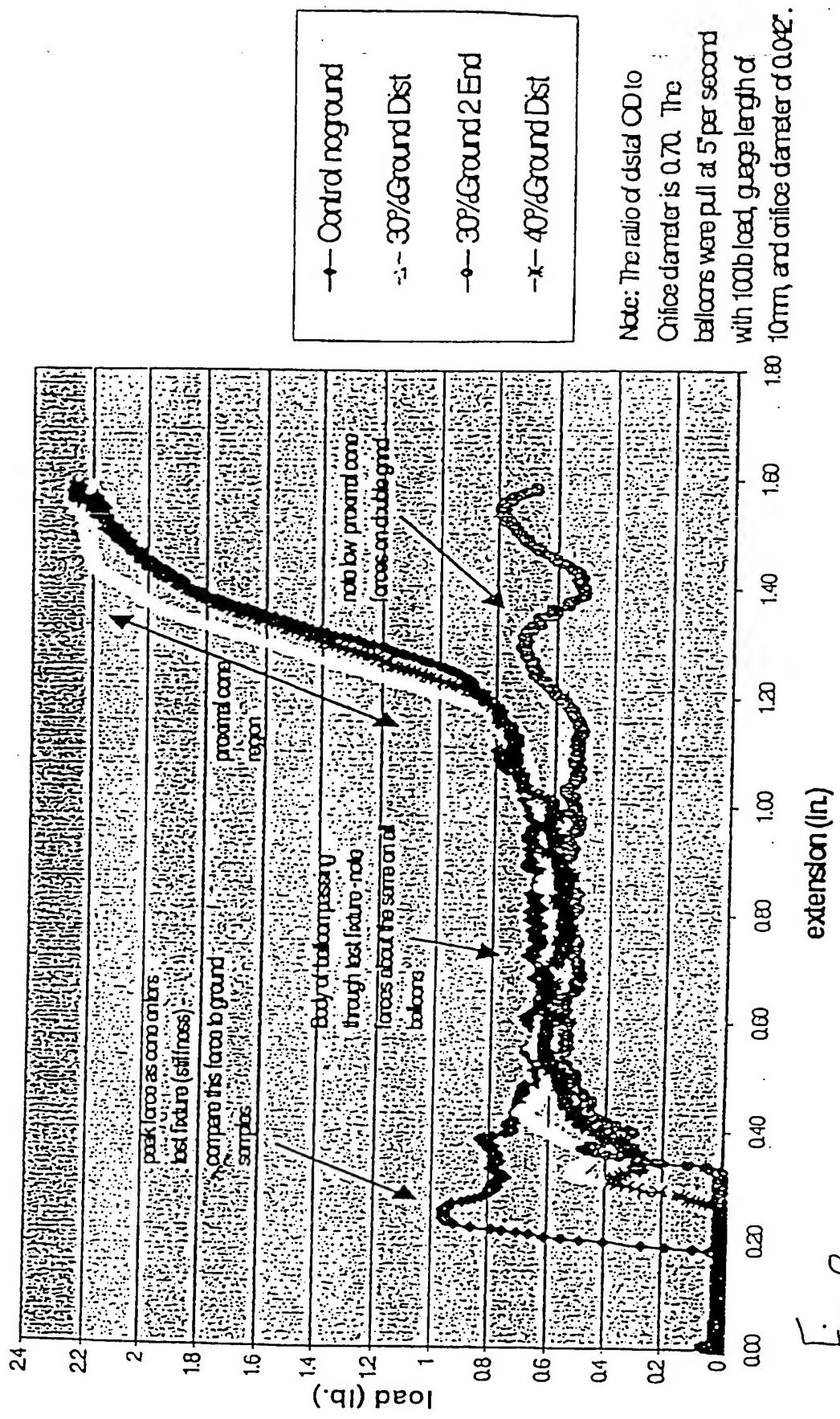


Fig. 9

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/40581

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : B29C 49/14

US CL : 264/520, 529, 532; 606/194

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 264/520, 529, 532; 606/194

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EAST

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,807,520 A (WANG et al.) 15 September 1998, col. 3, lines 27-60.	1-29, and 31
X	US 5,525,388 A (WAND et al.) 11 JUNE 1996, Abstract, lines 1-3.	30

 Further documents are listed in the continuation of Box C. See patent family annex.

Special categories of cited documents:	
A	document defining the general state of the art which is not considered to be of particular relevance
B	earlier document published on or after the international filing date
L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
O	document referring to an oral disclosure, use, exhibition or other means
P	document published prior to the international filing date but later than the priority date claimed
T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
&	document member of the same patent family

Date of the actual completion of the international search

29 NOVEMBER 2000

Date of mailing of the international search report

03 JAN 2001

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